

Translation

PATENT COOPERATION TREATY

PCT/JP2003/014626



PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference P32465-P0	FOR FURTHER ACTION See Form PCT/IPEA/416	
International application No. PCT/JP2003/014626	International filing date (day/month/year) 18 November 2003 (18.11.2003)	Priority date (day/month/year) 21 November 2002 (21.11.2002)
International Patent Classification (IPC) or national classification and IPC G10L 15/06, G06K 9/68		
Applicant MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.		

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 3 sheets, including this cover sheet.
3. This report is also accompanied by ANNEXES, comprising:
  - a. ☒ (sent to the applicant and to the International Bureau) a total of 12 sheets, as follows:
    - ☒ sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).
    - ☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.
  - b. ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) \_\_\_\_\_, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).

4. This report contains indications relating to the following items:

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Box No. I | Basis of the report   |
| <input type="checkbox"/> Box No. II           | Priority  |
| <input type="checkbox"/> Box No. III          | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| <input type="checkbox"/> Box No. IV           | Lack of unity of invention  |
| <input checked="" type="checkbox"/> Box No. V | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/> Box No. VI           | Certain documents cited   |
| <input type="checkbox"/> Box No. VII          | Certain defects in the international application  |
| <input type="checkbox"/> Box No. VIII         | Certain observations on the international application   |

Date of submission of the demand 09 February 2004 (09.02.2004)	Date of completion of this report 12 May 2004 (12.05.2004)
Name and mailing address of the IPEA/JP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/JP2003/014626

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

- ☐ This report is based on translations from the original language into the following language \_\_\_\_\_, which is language of a translation furnished for the purpose of:
- ☐ international search (under Rules 12.3 and 23.1(b))
  - ☐ publication of the international application (under Rule 12.4)
  - ☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:

- ☐ The international application as originally filed/furnished
- ☒ the description:
- pages \_\_\_\_\_ 1-107 \_\_\_\_\_, as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the claims:
- pages \_\_\_\_\_ 3, 4, 6-11, 16, 17, 20-22 \_\_\_\_\_, as originally filed/furnished
- pages\* \_\_\_\_\_ 2, 5, 13, 15, 19, 26-29 \_\_\_\_\_, as amended (together with any statement) under Article 19
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☒ the drawings:
- pages \_\_\_\_\_ 1-65 \_\_\_\_\_, as originally filed/furnished
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- ☐ a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3. ☒ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☒ the claims, Nos. \_\_\_\_\_ 1, 12, 14, 18, 23-25 \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (*specify*): \_\_\_\_\_

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/figs \_\_\_\_\_
- ☐ the sequence listing (*specify*): \_\_\_\_\_
- ☐ any table(s) related to sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/JP03/14626

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. Statement

Novelty (N)	Claims	2-11, 13, 15-17, 19-22, 26-29	YES
	Claims		NO
Inventive step (IS)	Claims	2-11, 13, 15-17, 19-22, 26-29	YES
	Claims		NO
Industrial applicability (IA)	Claims	2-11, 13, 15-17, 19-22, 26-29	YES
	Claims		NO

## 2. Citations and explanations (Rule 70.7)

Document 1: JP, 11-143486, A (Fuji Xerox Co., Ltd.), 28 May, 1999 (28.05.99)

## Claims 2, 26 and 27

Document 1 does not describe the constitution described in these claims, "one or more reference models are selected from among reference models based on the use information that is the information relating to the attributes to be covered by speech recognition."

## Claims 5, 28 and 29

Document 1 does not describe the constitution described in these claims, "the structure of a standard model to be produced is decided based on at least either the specification information that is the information relating to the use of the standard model to be produced or the use information that is the information relating to the attributes to be covered by speech recognition."

## Claim 13

Document 1 does not describe the constitution described in this claim, "the reference model storing means stores a pair of reference models different at least in the number of mixed Gaussian distributions, and the statistic estimating section calculates the statistics of a standard model for maximizing or maximalizing the probability or likelihood of the standard model to represent the said pair of reference models."

## Claim 15

Document 1 does not describe the constitution described in this claim, "at least either acquiring a reference model from outside for storing it into a reference model storing means or producing a new reference model for storing it into the said reference model storing means, and at least either renewing or adding a reference model to be stored by the said reference model storing means are performed."

## Claim 19

Document 1 does not describe the constitution described in this claim, "the initial value of the statistics specifying a standard model decided in its structure is decided based on the class ID used for identifying the kind of the standard model."

The respective constitutions of the claims other than those described above are the constitutions (a) quoting the constitutions of the aforesaid claims and (b) further restricting them.